

**INFORMATION DISCLOSURE STATEMENT**  
(37 C.F.R. 1.56, 1.97, and 1.98)

SHEET 2 OF 7

ATTORNEY DOCKET NO:  
26767-1000

APPLICATION NO:  
09/375,169









APPLICANTS: C. Aita et al.

FILING DATE:  
August 16, 1999

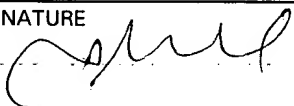
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**OTHER DOCUMENTS**

† EX'R INITIAL	* REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
	CA	H.E. Kambic, 'Changing strategies for biomaterials and biotechnology', in <i>Biomaterials' Mechanical Properties, ASTM STP 1173</i> (edited by H.E. Kambic and A.T. Yokobori, Jr., American Society for Testing Materials, Philadelphia, PA, 1994) pp. 293-301.
	CB	O.F. Bertrand, R. Mongrain, J. Rodes, J.C. Tardif, L. Bilodeau, G. Cote, and M. Bourassa, 'Biocompatibility aspects of new stent technology', <i>Journal of the American College of Cardiology</i> <b>32</b> , 562-571 (1998).
	CC	B. Kasemo and J. Lausmaa, 'Surface properties and process of the biomaterial-tissue interface', <i>Materials Science and Engineering CI</i> , 115-119 (1994).
	CD	S.H. Teoh, S.C. Lim, E.T. Yoon, and K.S. Goh, 'A new method for <i>in-vitro</i> wear assessment of materials used in mechanical heart valves', in <i>Biomaterials' Mechanical Properties, ASTM STP 1173</i> (Edited by H.E. Kambic and A.T. Yakabori, Jr., American Society for Testing and Materials, Philadelphia, PA 1994) pp. 43-52.
	CE	R. Hauert, U. Müller, G. Francz, F. Birchler, A. Schroeder, J. Mayer, and E. Wintermantel, 'Surface analysis and bioreactions of F and Si containnig a-C:H', <i>Thin Solid Films</i> <b>308-309</b> , 191-194 (1997).
	CF	M. Shirkhanzadeh, 'Nanoporous alkoxy-derived titanium oxide coating: a reactive overlayer for functionalizing titanium surface', <i>Journal of Materials Science: Materials in Medicine</i> <b>9</b> , 355-362 (1998).
	CG	M. Amon, A. Bölz, and M. Schaldach, 'Improvement of stenting therapy with a silicon carbide coated tantalum stent', <i>Journal of Materials Science: Materials in Medicine</i> <b>7</b> , 273-278 (1996).
	CH	L.D. Piveteau, M.J. Girona, L. Schlapbach, P. Barboux, J.P. Bailot, and B. Gasser, 'Thin films of calcium phosphate and titanium dioxide by a sol-gel route: a new method for coating medical implants', <i>Journal of Materials Science: Materials in Medicine</i> <b>10</b> , 161-167 (1999).

EXAMINER'S SIGNATURE

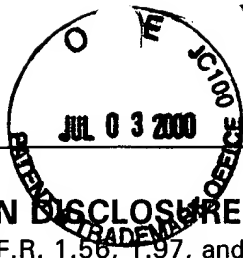


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SHEET 3 OF 7

ATTORNEY DOCKET NO:  
26767-1000

APPLICATION NO:  
09/375,669

APPLICANTS: C. Aita et al.

FILING DATE:  
August 16, 1999

GROUP: 775

**OTHER DOCUMENTS**

† EX'R INITIAL	* REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
	CI	N. Maalej, R. Albrecht, and J. Loscalzo, 'The potent platelet inhibitory effects of S-nitrosated albumin coating of artificial surfaces', <i>Journal of the American College of Cardiology</i> , <b>33</b> , 1408-1414 (1999).
	CJ	A. Krajewski, A. Ravaglioli, and M. Mazzocchi, 'Coating of ZrO <sub>2</sub> supports with a biological glass', <i>Journal of Materials Science: Materials in Medicine</i> <b>9</b> , 309-316 (1998).
	CK	Y.H. Yun, V.T. Turitto, K.P. Diagle, P. Kovacs, J.A. Davidson, and S.M. Slack, 'Initial hemocompatibility studies of titanium and zirconium alloys: Prekallikrein activation, fibrinogen absorption, and their correlation with surface electrochemical properties', <i>Journal of Biomedical Materials Research</i> <b>32</b> , 77-85 (1996).
	CL	H. Gleiter, 'Materials with ultrafine microstructures: retrospectives and perspectives', <i>Nanostructured Materials</i> <b>1</b> , 1-19 (1992).
	CM	D.F. Green, R.H.J. Hannink, and M.V. Swain, 'Transformation Toughening of Ceramics', (CRC Press, Inc., Boca Raton, FL 1989) pp. 1-15.
	CN	G. Skandan, C.M. Foster, H. Frase, M.N. Ali, J.C. Parker, and H. Hahn, 'Phase characterization and stabilization due to grain size effects of nanostructured Y <sub>2</sub> O <sub>3</sub> ', <i>Nanostructured Materials</i> <b>1</b> , 313-322 (1992).
	CO	G.S. Was and T. Foecke, 'Deformation and fracture in microlaminates', <i>Thin Solid Films</i> , <b>286</b> , 1-31 (1996).
	CP	R. Lappalainen and R. Raj, 'Nanograin superplasticity', in <i>Microcomposites and Nanophase Materials</i> (edited by D.C. Van Aken, G.S. Was and A.K. Ghosh, TMS, Warrendale, PA, 1991) pp. 41-51.
	CQ	H. Hahn, 'Microstructure and properties of nanostructured oxides', <i>Nanostructured Materials</i> <b>2</b> , 251-265 (1993).
	CR	H. Hahn and R.S. Averbach, 'High temperature mechanical properties of nanostructured ceramics', <i>Nanostructured Materials</i> <b>1</b> , 95-100 (1992).

EXAMINER'S SIGNATURE

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SHEET 4 OF 8

ATTORNEY DOCKET NO:  
26767-1000

APPLICATION NO:  
09/375,769

APPLICANTS: C. Aita et al.

FILING DATE:  
August 16, 1999

GROUP: 775

## OTHER DOCUMENTS

† EX'R INITIAL	* REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
	CS	F.A. Modine, D. Lubben, and J.B. Bates, 'Electrical conduction in $\text{CaF}_2$ and $\text{CaF}_2\text{-Al}_2\text{O}_3$ nanocomposite films on $\text{Al}_2\text{O}_3$ substrates', <i>Journal of Applied Physics</i> <b>74</b> , 2658-2664 (1993).
	CT	A.H.M. Zahirul, Y. Alam, Y. Takashima, K. Sasaki, and T. Hata, 'Properties of indium tin oxide films with indium tin modulation layers prepared by nano-scale controlled reactive magnetron sputtering', <i>Thin Solid Films</i> <b>279</b> , 131-134 (1996).
	CU	T. Hirano, K. Izaki, and K. Niihara, 'Microstructure and thermal conductivity of $\text{Si}_3\text{N}_4/\text{SiC}$ nanocomposites fabricated from amorphous Si-C-N precursor powders', <i>Nanostructured Materials</i> <b>5</b> , 809-818 (1995).
	CV	Z. Peng, X. Li, M. Zhao, H. Cai, S. Zhao, G. Hu, and B. Xu, 'Fabrication of $\text{La}_{1-x}\text{Sr}_x\text{Fe}_{1-y}\text{Co}_y\text{O}_3$ sensitive ceramics, nanocrystalline thin films and the manufacture of NCTF-OSFET gas sensing device', <i>Thin Solid Films</i> <b>286</b> , 270-273 (1996).
	CW	C.R. Aita and W.S. Tait, 'Nanocrystalline aluminum nitride: Growth by sputter deposition, optical absorption, and corrosion protection behavior', <i>Nanostructured Materials</i> <b>1</b> , 269-282 (1992).
	CX	W.S. Tait and C.R. Aita, 'Modeling corrosion behavior of aluminum- and aluminum nitride-coated steel in oxygen-free aqueous potassium chloride', <i>Corrosion</i> <b>46</b> , 115-117 (1990).
	CY	W.S. Tait and C.R. Aita, 'Aluminum nitride as a corrosion protection coating for steel: the self-sealing porous electrode model', <i>Surface Engineering</i> <b>7</b> , 327-330 (1991).
	CZ	C.M. Scanlan, M. Gajdardziska-Josifovska, and C.R. Aita, 'Tetragonal zirconia growth by nanolaminate formation', <i>Applied Physics Letters</i> <b>64</b> , 3548-3550 (1994).

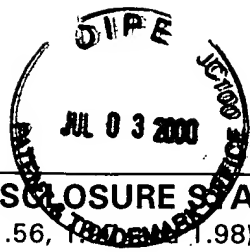
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# INFORMATION DISCLOSURE STATEMENT

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ATTORNEY DOCKET NO:  
26767-1000

APPLICATION NO:  
09/375,69

APPLICANTS: C. Aita et al.

FILING DATE:  
August 16, 1999

GROUP: 775

SHEET 5 OF 7

## OTHER DOCUMENTS

† EX'R INITIAL	* REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
	C1	C.R. Aita, M.D. Wiggins, R. Whig, C.M. Scanlon, and M. Gajdardziska-Josifovska, 'Thermodynamics of tetragonal zirconia formation in a nanolaminate film', <i>Journal of Applied Physics</i> <b>79</b> , 1176-1178 (1996).
	C2	M. Schofield, C.R. Aita, P.M. Rice, and M. Gajdardziska-Josifovska, 'Transmission electron microscopy study of zirconia-alumina nanolaminates grown by reactive sputter deposition I: Zirconia nanocrystallite growth morphology', <i>Thin Solid Films</i> <b>326</b> , 106-116 (1998).
	C3	C.R. Aita, 'Reactive sputter deposition of ceramic oxide nanolaminates: Zirconia-alumina and zirconia-yttria model systems', <i>Surface Engineering</i> <b>14</b> , 421-426 (1998).
	C4	M. Gajdardziska-Josifovska and C.R. Aita, 'The transformation structure of zirconia-alumina nanolaminates studied by high resolution electron microscopy', <i>Journal of Applied Physics</i> <b>79</b> , 1315-1319 (1996).
	C5	M. Schofield, C.R. Aita, P.M. Rice, and M. Gajdardziska-Josifovska, 'Transmission electron microscopy study of zirconia-alumina nanolaminates grown by reactive sputter deposition. Part I: zirconia nanocrystallite growth morphology', <i>Thin Solid Films</i> <b>326</b> , 117-125 (1998).
	C6	R. Ruh, R., K.S. Mazdiasni, P.G. Valentine, and H.O. Bielstein, 'Phase relations In the system $ZrO_2$ - $Y_2O_3$ at low $Y_2O_3$ contents', <i>Journal of the American Ceramic Society</i> <b>67</b> , C190-C192 (1984).
	C7	M.H. Tuilier, J. Dexpert-Ghys, H. Dexpert, and P. Lagarde, 'X-Ray absorption study of the $ZrO_2$ - $Y_2O_3$ system', <i>Journal of Solid-State Chemistry</i> <b>69</b> , C153-C161 (1987).
	C8	C.Pascaul and P. Duran, 'Subsolidus Phase Equilibria and Ordering in the System $ZrO_2$ - $Y_2O_3$ ', <i>Journal of the American Ceramic Society</i> <b>66</b> , 23-27 (1982).
	C9	H.G. Scott, 'Phase relationships in the yttria-rich part of the yttria-zirconia system', <i>Journal of Materials Science</i> <b>12</b> , 311-316 (1977).
	C10	H.G Scott, 'The yttria-zirconia $\delta$ phase', <i>Acta Crystallographica</i> <b>B33</b> , 281-282 (1977).
	C11	R.W. Lynch and B. Morosin, 'Thermal expansion, compressibility, and polymorphism in hafium and zirconium titanates', <i>Journal of the American Ceramics Society</i> <b>55</b> , 409-413 (1972).

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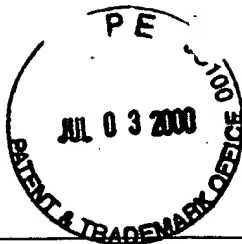
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SHEET 6 OF 7

ATTORNEY DOCKET NO:  
26767-1000

APPLICATION NO:  
09/375,159

APPLICANTS: C. Aita et al.

FILING DATE:  
August 16, 1999

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**OTHER DOCUMENTS**

† EX'R INITIAL	* REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
	C12	A.E. McHale and R.S. Roth, 'Low-temperature phase relationships in the system $ZrO_2$ - $TiO_2$ ', <i>Journal of the American Ceramics Society</i> <b>69</b> , 827-832 (1986).
	C13	J.D. DeLoach and C.R. Aita, 'Phase evolution in sputter deposited zirconia-titania nan laminate films', <i>Journal of Vacuum Science and Technology A</i> , in review (1999).
	C14	J.D. DeLoach and C.R. Aita, 'High refractive index <100> textured cubic zirconia formed in nanolaminates using titania interruption layers', <i>Journal of Materials Science Letters</i> , in review (1999).
	C15	W. Ensinger, 'The influence of ion irradiation during film growth on the chemical stability of film/substrate systems', <i>Surface and Coatings Technology</i> <b>80</b> , 35-48 (1996).
	C16	P.J. Martin, R.P. Netterfield, W.G. Sainty, and C.G. Pacey, 'The preparation and characterization of optical thin films produced by ion-assisted deposition', <i>Journal of Vacuum Science and Technology A</i> <b>2</b> , 341-345 (1984).
	C17	L. van Leaven, M.N. Alias, and R. Brown, 'Corrosion behavior of ion plated and implanted films', <i>Surface and Coatings Technology</i> <b>53</b> , 25-34 (1992).
	C18	R. Hübler, A. Schroer, W. Ensinger, G.K. Wolf, W.H. Schreiner, and I.J.R. Baumvol, 'Plasma and ion-beam-assisted deposition of multilayers for tribological and corrosion protection', <i>Surface and Coatings Technology</i> <b>60</b> , 561-565 (1993).
	C19	H. Kupfer, F. Richter, S. Friedrich, and H.J. Spies, 'Deposition and properties of Ti/N carbon multilayers for corrosion protection of steel', <i>Surface and Coatings Technology</i> <b>74-75</b> , 333-338 (1995).
	C20	U. Wiklund, P. Hedenquist, S. Hogmark, B. Stridh, and M. Arbell, 'Multilayer coatings as corrosion protection of zircaloy', <i>Surface and Coatings Technology</i> <b>86/87</b> , 530-534 (1996).
	C21	M. Pourbaix, 'Electromechanical corrosion of metallic biomaterials', <i>Biomaterials</i> <b>5</b> , 122-134, 1984.

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SHEET 7 OF 7

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APPLICATION NO:  
09/375,169

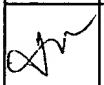
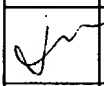
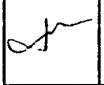
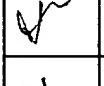
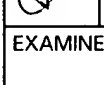
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**OTHER DOCUMENTS**

† EX'R INITIAL	* REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
	C22	A. Giaimo, M.N. Alias, and R. Brown, in 'Metallic nitrides for corrosion protection in marine environments: Theory compared to experimental results', in <i>Proceedings of Corrosion/97</i> (NACE International, Houston, TX, 1997) 418/1-418/12.
	C23	L.L. Hench and E.C. Ethridge, <i>Biomaterials: An Interfacial Approach</i> (Academic, New York, NTY 1992), Ch. 5.
	C24	W.S Tait, C.O. Huber, B.C. Begnoche, J.R. Siettmann, and C.R. Aita, 'Al, Al-N alloy, and AlN-coated steel corrosion behavior in O <sub>2</sub> -free KCl solutions', <i>Journal of Vacuum Science and Technology A</i> <b>6</b> , 924-927 (1988).
	C25	O. Kubaschewski and C.B. Alcock, <i>Metallurgical Thermochemistry</i> , (Pergamon, Oxford, UK, 1979) p.268.
	C26	C.R. Aita, 'Tailored ceramic film growth at low temperature by reactive sputter deposition', <i>Critical Reviews in Solid State and Materials Sciences</i> <b>23</b> , 205-274 (1998).

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187063 v01.PA (40C7011.DOC) (#187063 v1 - aita 26767-1000 pto1449)